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Date: September 20, 2002

Comor.

Sonia V. McVean

PATENT 36856.833

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hidefumi NAKATA

Serial No.: 09/989,962

Filed: November 21, 2001

Title: MIM CAPACITOR AND

MANUFACTURING METHOD

THEREFOR

Art Unit: 2814

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of two (2) references cited in the enclosed search report of a corresponding British patent application. For the Examiner's convenience we have enclosed a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

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I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant(s) respectfully request(s) that the disclosed reference(s) be made of record in the subject application.

Respectfully submitted,

Date: September 20, 2002

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